



CLINICAL GUIDELINES

CMM-201: Facet Joint Injections/Medial Branch Blocks

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eviCore healthcare Clinical Decision Support Tool Diagnostic Strategies: This tool addresses common symptoms and symptom complexes. Requests for individuals with atypical symptoms or clinical presentations that are not specifically addressed will require physician review. Consultation with the referring physician, specialist and/or individual's Primary Care Physician (PCP) may provide additional insight.

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Clinical guidelines for medical necessity review of Comprehensive Musculoskeletal Management Services.

CMM-201: Facet Joint Injections/Medial Branch Blocks

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Definitions

- **Axial:** Relating to or situated in the central part of the body, in the head and trunk as distinguished from the limbs, e.g., axial skeleton.
- **Cervical Facet Pain:** Pain located in the cervical spine, which may be characterized by chronic headaches, restricted motion, and axial neck pain, which may radiate sub-occipitally to the shoulders or mid-back.
- **Facet Joint Pain:** A set of concurrent signs or symptoms to describe the facet joint as the pain generator. The typical clinical signs or symptoms may include local paraspinal tenderness; pain that is brought about or increased on hyperextension, rotation, and lateral bending; low back stiffness; absence of neurologic deficit; absence of root tension signs (non- radiating below the knee, absence of paresthesia).
- **Facet (Zygapophyseal) Joints:** paired, diarthrodial synovial joints located between the superior and inferior articular pillars in the posterior spinal column, innervated by medial branch nerves, from C2 – 3 to L5 – S1.
 - ◆ **Note:** The articulations between occiput – atlas (C1) and the atlas (C1) and the axis (C2) and below L5 – S1 (sacrum) are not facet joints.
- **Facet Level:** the zygapophyseal joint or the two medial branch (MB) nerves that innervate that zygapophyseal joint. Each level has a pair of facet joints: one on the right side and one of the left side of the spine.
- **Facet Joint Injections/Medial Branch Blocks:** the injection of local anesthetic and possibly a corticosteroid in the facet joint capsule (facet joint injection) or along the nerves supplying the facet joints (medial branch block) from C2-3 to L5-S1. Even though either procedure can be used to diagnose facet joint pain, a medial branch block is generally considered more appropriate.
 - ◆ **Note:** The injection/block applies directly to the facet joint(s) blocked and not to the number of nerves blocked that innervate the facet joint(s).
- **Non-Radicular Back Pain:** radiating non-neuropathic pain which is not causally related to a spinal nerve root irritation and does not produce reproducible neuropathic symptoms in an objective dermatomal pattern.
- **Positive Response** (to a diagnostic facet joint injection/medial branch block): at least 80% relief of facet mediated pain for at least the expected minimum duration of the effect of the local anesthetic used.
 - ◆ **Note:** A response to the first two injection(s) must be documented.
- **Session:** a time period, which includes all procedures (i.e., medial branch block (MBB), intra-articular facet joint injection, and radiofrequency ablation [RFA]) performed on a single date of service.

General Guidelines

Application of Guideline

- Facet joint injections/medial branch blocks should only be performed for **EITHER** of the following:
 - ◆ Neck pain or low back pain in the absence of an untreated radiculopathy
 - ◆ Radicular pain or radiculopathy caused by a facet joint synovial cyst
- Facet joint injections/medial branch blocks must be performed for facet mediated pain and not for other indications that are not in scope for management (i.e. third occipital nerve (TON) injection/nerve block for cervicogenic headaches).
- Please see **CMM-203: Sacroiliac Joint Procedures** for requests related to L5 medial nerve branch and sacral lateral nerve branch blocks and/or ablations/neuromotomies used for the purpose of diagnosis and/or treatment of sacroiliac joint mediated pain.
- Facet joint injections/medial branch blocks are not without risk and can expose patients to potential complications that may be increased when a patient is sedated. As a result, when performing facet joint injections/medial branch blocks, the use of supplemental sedation in addition to local anesthesia is not required and not recommended.
- The determination of medical necessity for the performance of facet joint injections/medial branch blocks is always made on a case-by-case basis.

Injectates

- This guideline only applies to injections of an anesthetic, corticosteroid, and/or contrast agent and does not apply to injections of biologics (e.g., platelet rich plasma, stem cells, amniotic fluid, etc.) and/or any other injectates that are not in scope of management.

Image Guidance

- A facet joint injection/medial branch block should be performed with the use of fluoroscopic or CT guidance.

Frequency & Number of Injections/Procedures

- A diagnostic facet joint injection/medial branch block may be performed to determine whether spinal pain originates in the facet joint or nerves innervating the facet joint.
 - ◆ A second diagnostic facet joint injection/medial branch block must be performed to confirm the validity of the positive response (i.e., at least 80% relief) of the initial injection/block.
 - **Note:** The second diagnostic injection/block should **ONLY** be performed with the intent that, if successful, a radiofrequency joint denervation/ablation procedure (facet neurotomy, facet rhizotomy) would be considered as an option at the diagnosed level(s)

- Only two (2) diagnostic facet joint injections/medial branch blocks are permitted at the same level(s).
 - ◆ More than two (2) facet injections/medial branch blocks at the same level and same side are considered to be therapeutic rather than diagnostic and must meet the criteria for therapeutic facet joint injections/medial branch blocks as noted in the **Indications** section
- Additional diagnostic facet joint injections/medial branch blocks are not permitted when there has been a prior successful radiofrequency denervation/ablation procedure at the requested level(s).
- Only one invasive modality or procedure will be performed on the same date of service. The following is the ONLY exception allowed:
 - ◆ **Note:** Exception is allowed for an intra-articular facet joint injection being performed together with a transforaminal epidural steroid injection (TFESI) when the intra-articular facet joint injections is performed for a synovial cyst aspiration on the same date of service.
- Requests for subsequent (beyond initial) facet joint injections/medial branch blocks will be evaluated based on the response to the prior facet injection/medial branch block. Therefore, a series of facet joint injections/medial branch blocks at the same level(s) is not permitted in one request.

Levels

- When criteria are met, facet joint injections/medial branch blocks are only permitted from levels C2 – 3 to L5 – S1.
 - ◆ **Note:** The facet joint injection/medial branch block applies directly to the facet joint(s) blocked/ablated and not to the number of nerves blocked/ablated that innervate the facet joint(s).
- It may be necessary to perform the facet joint injection/medial branch block at the same facet joint level(s) bilaterally. However, no more than three (3) facet joint levels should be injected during the same session/procedure.
- Following a spinal fusion, a diagnostic facet joint injection/medial branch block may be performed immediately above or below the fused level if a prior injection/block was negative at the requested level.
- Facet joint injections/medial branch blocks are permitted on no more than three (3) contiguous facet joint levels. The following is the ONLY exception allowed:
 - ◆ **Note:** Exception allowed for facet joint injection/medial branch block performed above or below the fused posterior spinal motion segment

Indications

Initial Diagnostic Facet Joint Injection/Medial Branch Block

- An initial diagnostic facet joint injection/medial branch block to determine whether chronic cervical, thoracic, or lumbar pain is of facet joint origin is considered **medically necessary** when **ALL** of the following criteria are met:

- ◆ Presence of predominantly axial cervical, thoracic, or lumbar pain
 - **Note:** For criteria related to radiculopathy caused by a facet joint synovial cyst, please see Intra-Articular (IA) Facet Joint Injection Performed with Synovial Cyst Aspiration
- ◆ Pain has persisted for at least 3 months
- ◆ In the past 3 months, pain has persisted despite at least four (4) weeks of conservative treatment (e.g., exercise, physical therapy, chiropractic care, or medications to include nonsteroidal anti-inflammatory drugs [NSAIDs], or analgesics)
 - **Note:** If conservative treatment is contraindicated, the reason(s) for contraindication(s) is/are required to be documented in the medical record
- ◆ Clinical findings and imaging studies suggest no other obvious cause of the axial neck or back pain (e.g., central spinal stenosis with neurogenic claudication/myelopathy; foraminal stenosis or disc herniation with concordant radicular pain/radiculopathy; infection; tumor; fracture; pseudoarthrosis; or, pain related to spinal instrumentation)
- ◆ The spinal motion segment is not posteriorly fused
- ◆ A radiofrequency joint denervation/ablation procedure is being considered

Second Diagnostic Facet Joint Injections/ Medial Branch Block

- A second diagnostic facet joint injection/medial branch block, performed to confirm the validity of the clinical response to the initial facet joint injection, is **considered medically necessary** when **ALL** of the following criteria are met:
 - ◆ Administered at the same level(s) as the initial diagnostic block
 - ◆ The initial diagnostic facet joint injection produced a positive response (i.e., at least 80% relief of facet mediated pain for at least the expected minimum duration of the effect of the local anesthetic)
 - ◆ A radiofrequency joint denervation/ablation procedure is being considered

Therapeutic Facet Joint Injection/Medial Branch Block

Alternative Treatment when Radiofrequency Ablation/Neurotomy is Contraindicated

- The first facet joint injections/medial branch blocks performed as an alternative treatment to a radiofrequency ablation/neurotomy are **considered medically necessary** when **ALL** of the following criteria are met:
 - ◆ There has been a documented positive response with two (2) sequential diagnostic facet joint injections/medial branch blocks at the same level(s)
 - Positive response is evidenced by at least 80% relief of facet mediated pain for at least the expected minimum duration of the effect of the local anesthetic used
 - ◆ The individual is not a candidate for a radiofrequency joint denervation/ablation procedure due to **ONE** of the following documented contraindications:
 - Established spinal pseudoarthrosis at the spinal level intended for treatment
 - Implanted electrical device (i.e. cardiac pacemaker, cardiac defibrillator, dorsal column stimulator, dorsal root ganglion stimulator, peripheral neurostimulator, cranial neurostimulator, implantable programmable drug pump)
- Subsequent therapeutic facet joint injections/medial branch blocks performed as an alternative treatment to a radiofrequency ablation/neurotomy are considered **medically necessary** when **ALL** of the following criteria are met:
 - ◆ Performed at least six (6) months or more from when the prior therapeutic facet joint injection/medial branch block at the same level(s)
 - ◆ Previous facet joint injections/medial branch blocks resulted in at least 50% pain relief for at least twelve (12) weeks following the facet joint injection/medial branch block
 - ◆ The individual continues to not be a candidate for a radiofrequency joint denervation/ablation procedure due to **ONE** of the following documented contraindications:
 - Established spinal pseudoarthrosis at the spinal level intended for treatment
 - Implanted electrical device (i.e. cardiac pacemaker, cardiac defibrillator, dorsal column stimulator, dorsal root ganglion stimulator, peripheral neurostimulator, cranial neurostimulator, implantable programmable drug pump)

Intra-Articular (IA) Facet Joint Injection Performed with Synovial Cyst Aspiration

- An initial intra-articular facet joint injection with synovial cyst aspiration is considered **medically necessary** when **ALL** of the following criteria are met:
 - ◆ Advanced diagnostic imaging studies (e.g., MRI, CT, CT myelogram) confirm compression or displacement of the corresponding nerve root by a facet joint synovial cyst
 - ◆ Clinical correlation (based on history and physical examination) with the individual's signs and symptoms of radicular pain or radiculopathy.

- If a repeat IA facet joint injection with synovial cyst aspiration is needed the following is required:
 - ◆ The previous facet joint injections/medial branch blocks resulted in at least 50% pain relief for at least twelve (12) weeks following the facet joint injection/medial branch block

Non-Indications

Not Medically Necessary

- **ANY** of the following are considered **not medically necessary**:
 - ◆ A facet joint injection/medial branch block performed without meeting the requirements listed in the **Definitions**, the **General Guidelines**, and the **Indications** sections
 - ◆ An intra-articular facet joint injection with a synovial cyst aspiration performed without meeting the requirements listed in the **Definitions**, the **General Guidelines**, and the **Indications** sections
 - ◆ Facet joint injections/medial branch blocks performed subsequent to the initial two diagnostic injections (i.e., therapeutic injections) with the following exception:
 - **Note:** Exception allowed if the facet joint injection/medial branch block is performed as an alternative treatment when radiofrequency ablation/neuromyotomy is contraindicated as noted in the **Indications** section.

Experimental, Investigational, or Unproven (EIU)

- Facet joint injection/medial branch block performed using injectates other than an anesthetic, corticosteroid, and/or contrast agent (e.g., biologics [platelet rich plasma, stem cells, amniotic fluid]), administered alone or in combination is considered experimental, investigational, or unproven (EIU).
- Facet joint injection/medial branch block performed under ultrasound guidance is considered **experimental, investigational, or unproven (EIU)**.
- The treatment of the L5 - S1 facet joint is used for the diagnosis and/or treatment of sacroiliac joint (SIJ) mediated pain is considered experimental, investigational, or unproven (EIU).

Procedure (CPT®) Codes

This guideline relates to the CPT® code set below. Codes are displayed for informational purposes only. Any given code's inclusion on this list does not necessarily indicate prior authorization is required.

CPT®	Code Description/Definition
64490	Injection(s), diagnostic or therapeutic agent, paravertebral facet (zygapophyseal) joint (or nerves innervating that joint) with image guidance (fluoroscopy or CT), cervical or thoracic, single level
+64491	Injection(s), diagnostic or therapeutic agent, paravertebral facet (zygapophyseal) joint (or nerves innervating that joint) with image guidance (fluoroscopy or CT), cervical or thoracic; second level (List separately in addition to code for primary procedure)
+64492	Injection(s), diagnostic or therapeutic agent, paravertebral facet (zygapophyseal) joint (or nerves innervating that joint) with image guidance (fluoroscopy or CT), cervical or thoracic; third and any additional level(s) (List separately in addition to code for primary procedure)
64493	Injection(s), diagnostic or therapeutic agent, paravertebral facet (zygapophyseal) joint (or nerves innervating that joint) with image guidance (fluoroscopy or CT), lumbar or sacral, single level
+64494	Injection(s), diagnostic or therapeutic agent, paravertebral facet (zygapophyseal) joint (or nerves innervating that joint) with image guidance (fluoroscopy or CT), lumbar or sacral, second level (List separately)
+64495	Injection(s), diagnostic or therapeutic agent, paravertebral facet (zygapophyseal) joint (or nerves innervating that joint) with image guidance (fluoroscopy or CT), lumbar or sacral, third and any additional level(s) (List separately)
CPT®	Codes Considered Experimental, Investigational or Unproven (EIU)
0213T	Injection(s), diagnostic or therapeutic agent, paravertebral facet (zygapophyseal) joint (or nerves innervating that joint) with ultrasound guidance, cervical or thoracic; single level
+0214T	Injection(s), diagnostic or therapeutic agent, paravertebral facet (zygapophyseal) joint (or nerves innervating that joint) with ultrasound guidance, cervical or thoracic; second level (List separately in addition to code for primary procedure)
+0215T	Injection(s), diagnostic or therapeutic agent, paravertebral facet (zygapophyseal) joint (or nerves innervating that joint) with ultrasound guidance, cervical or thoracic; third and any additional level(s) (List separately in addition to code for primary procedure)
0216T	Injection(s), diagnostic or therapeutic agent, paravertebral facet (zygapophyseal) joint (or nerves innervating that joint) with ultrasound guidance, lumbar or sacral; single level
+0217T	Injection(s), diagnostic or therapeutic agent, paravertebral facet (zygapophyseal) joint (or nerves innervating that joint) with ultrasound guidance, lumbar or sacral; second level (List separately in addition to code for primary procedure)
+0218T	Injection(s), diagnostic or therapeutic agent, paravertebral facet (zygapophyseal) joint (or nerves innervating that joint) with ultrasound guidance, lumbar or sacral; third and any additional level(s) (List separately in addition to code for primary procedure)

This list may not be all inclusive and is not intended to be used for coding/billing purposes. The final determination of reimbursement for services is the decision of the health plan and is based on the individual's policy or benefit entitlement structure as well as claims processing rules.

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